

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Kazuo YOKOYAMA et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2004\_2026A  
Filed December 21, 2004 :

MULTI-JOINT DRIVE MECHANISM AND  
MANUFACTURING METHOD THEREFOR,  
AND GRASPING HAND AND ROBOT USING THOSE  
[Corresponding to PCT/JP03/07914  
Filed June 23, 2003]

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_;  
and/or
- ☐ each reference previously cited in prior parent application Serial No.  
\_\_\_\_\_.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the  
above-entitled application, or

ATTACHMENT "D"

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
  
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☒ the concise explanation (for reference "AO") is contained in the specification of the present application at page 2,
  - d. ☒ the concise explanation set forth in the attached English language abstracts,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
  
5. ☐ A foreign search report citing one or more of the references is enclosed.

Respectfully submitted,

Kazuo YOKOYAMA et al.

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 December 21, 2004

FORM PTO 1449 (modified)

ATTY DOCKET NO.  
2004\_2026A

AL NO.

10/518756

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: December 21, 2004

APPLICANT  
Kazuo YOKOYAMA et al.FILING DATE  
December 21, 2004

GROUP

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,130,747	7/1992	Kikuri et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
/PTC/	AJ	2-100791	8/1990	JP				
/PTC/	AK	2001-105378	4/2001	JP			Abstract	
/PTC/	AL	59-102586	6/1984	JP				
/PTC/	AM	1 043 642	10/2000	EP				
/PTC/	AN	0 333 872	9/1989	EP				
/PTC/	AO	3226219	8/2001	JP			Abstract	
/PTC/	AP	3245095	10/2001	JP			Abstract	
/PTC/	AQ	6-91582	4/1994	JP			Abstract	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

/Paul Chin/ (11/11/2009)

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.